

Security Products

Upgrade Guide

Release 6.3.0, Rev 02

Juniper Networks, Inc.

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Published: 2009-09-20

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Revision History September 2009—Revision 02

Content subject to change. The information in this document is current as of the date listed in the revision history.

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Part 1 Upgrade Procedures

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Upgrade Guide

Chapter 1 About This Guide

This guide contains procedures for upgrading existing firmware to ScreenOS 6.3.0.

- Conventions on page 3
- Requesting Technical Support on page 5
- Document Feedback on page 6

Conventions

This guide uses the conventions described in the following sections:

- Web User Interface Conventions on page 3
- Command Line Interface Conventions on page 4
- Naming Conventions and Character Types on page 4

Web User Interface Conventions

The Web user interface (WebUI) contains a navigational path and configuration settings. To enter configuration settings, begin by clicking a menu item in the navigation tree on the left side of the screen. As you proceed, your navigation path appears at the top of the screen, with each page separated by angle brackets.

The following example shows the WebUI path and parameters for defining an address:

Policy > Policy Elements > Addresses > List > New: Enter the following information, then click **OK**:

Address Name: addr_1 IP Address/Domain Name: IP/Netmask: (select), 10.2.2.5/32 Zone: Untrust

To open online Help for configuration settings, click on the question mark (?) in the upper right of the screen.

The navigation tree also provides a Help > Config Guide configuration page to help you configure security policies and Internet Protocol Security (IPsec). Select an option from the list, and follow the instructions on the page. Click the **?** character in the upper right for online Help on the Config Guide.

Command Line Interface Conventions

The following conventions are used to present the syntax of command line interface (CLI) commands in text and examples.

In text, commands are in **boldface** type and variables are in *italic* type.

In examples:

- Variables are in *italic* type.
- Anything inside square brackets [] is optional.
- Anything inside braces { } is required.
- If there is more than one choice, each choice is separated by a pipe (|). For example, the following command means "set the management options for the ethernet1, the ethernet2, *or* the ethernet3 interface":

set interface { ethernet1 | ethernet2 | ethernet3 } manage



NOTE: When entering a keyword, you only have to type enough letters to identify the word uniquely. Typing **set adm u whee j12fmt54** will enter the command **set admin user wheezer j12fmt54**. However, all the commands documented in this guide are presented in their entirety.

Naming Conventions and Character Types

ScreenOS employs the following conventions regarding the names of objects—such as addresses, admin users, auth servers, IKE gateways, virtual systems, VPN tunnels, and zones—defined in ScreenOS configurations:

 If a name string includes one or more spaces, the entire string must be enclosed within double quotes; for example:

set address trust "local LAN" 10.1.1.0/24

- Any leading spaces or trailing text within a set of double quotes are trimmed; for example, "local LAN " becomes "local LAN".
- Multiple consecutive spaces are treated as a single space.
- Name strings are case-sensitive, although many CLI keywords are case-insensitive. For example, "local LAN" is different from "local lan".

ScreenOS supports the following character types:

Single-byte character sets (SBCS) and multiple-byte character sets (MBCS).
 Examples of SBCS are ASCII, European, and Hebrew. Examples of MBCS—also referred to as double-byte character sets (DBCS)—are Chinese, Korean, and Japanese.

 ASCII characters from 32 (0x20 in hexadecimals) to 255 (0xff), except double quotes ("), which have special significance as an indicator of the beginning or end of a name string that includes spaces.



NOTE: A console connection supports only SBCS. The WebUI supports both SBCS and MBCS, depending on the character sets that your browser supports.

Requesting Technical Support

Technical product support is available through the Juniper Networks Technical Assistance Center (JTAC). If you are a customer with an active J-Care or JNASC support contract, or are covered under warranty, and need postsales technical support, you can access our tools and resources online or open a case with JTAC.

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Chapter 2 ScreenOS Upgrade Procedures

This guide contains procedures for upgrading existing firmware to ScreenOS 6.3.0.

Before you upgrade a security device, you must have the most recent ScreenOS firmware stored on your local drive. Depending on the platform and the firmware your device is currently running, you also might need intermediate (or step-up) firmware, new boot-loader firmware, or both. Figure 1 on page 8 illustrates the various firmware upgrade paths to ScreenOS 6.3.0.



Figure 1: Firmware Upgrade Path

CAUTION: Before upgrading a device, save the existing configuration file to avoid losing any data. During the upgrade process, the device might remove part or all of the configuration file.

Table 1 on page 9 lists the recommended upgrade path to ScreenOS 6.3.0 based on security device model and firmware version. For example, if you are running ScreenOS 5.0.0 on a NetScreen 5000 line device, you need to upgrade to ScreenOS 5.4.0r8 or later before upgrading to ScreenOS 6.3.0. Table 1 on page 9 also lists boot-loader upgrade recommendations for each ScreenOS platform.



NOTE: For the SSG 500/500M and SSG 300M Series devices, we strongly recommend that you upgrade the boot loader to the latest version. For other devices, boot loader upgrade is only needed if there is a failure during the upgrade.

Table 1: Upgrade Paths to ScreenOS 6.3.0

Platform	Intermediate Firmware	Upgrade Recommendation (Boot-Loader Filename)
ISG 1000	5.4.0r8 or later	Load1000v102
ISG 1000-IDP	5.4.0r8 or later	Load1000v102
ISG 2000	5.4.0r8 or later	Load2000v116
ISG 2000-IDP	5.4.0r8 or later	Load2000v116
NetScreen 5000 line using 5000-M2 NS-5000-8G2	5.4.0r8 or later	Load5000v103
NS-5000-2XGE		See the Caution following Table 1.
NetScreen 5000 line using 5000-M3 NS-5000-8G2G4	6.1.0r1 or later	Load5000v103
SSG 5	5.4.0r8 or later	Loadssg5ssg20v132
SSG 20	5.4.0r8 or later	Loadssg5ssg20v132
SSG 140	5.4.0r8 or later	Loadssg140v324
SSG 320M	6.0.0r1 or later	Loadssg300v306
SSG 350M	6.0.0r1 or later	Loadssg300v306
SSG 520	5.4.0r8 or later	Loadssg500v105
SSG 550	5.4.0r8 or later	Loadssg500v105
SSG 520M	5.4.0r8 or later	Loadssg500v105
SSG 550M	5.4.0r8 or later	Loadssg500v105



CAUTION: This release requires the SIMM DRAM upgrade to 1GB on NetScreen 5000 line devices. Secure Port Modules (SPMs) affected are NS-5000-8G2 and NS-5000-2XGE manufactured before February 1, 2006. If your NS-5000 modules qualify for a memory upgrade, contact Juniper Networks at 1-866-369-5418 or email

junipermem@onprocess.com for a memory-upgrade kit. The memory upgrade is free for qualified users.

Device-Specific Requirements

The NetScreen-5400 device supports two million sessions (the default) in version 6.1.0. When upgrading from 5.4.0 or 6.0.0r1 to 6.1.0 or 6.0.0r2, make sure your security device has a minimum of 450 MB of free memory. One million sessions requires approximately 340 MB of memory.

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NOTE: To initialize SSG security devices, the SCCP client checks if the USB device is connected to the port and loads the configuration file usb:auto_config.txt (if the file is stored in the USB device).

Requirements for Upgrading Security Device Firmware

This section lists the requirements for upgrading security device firmware.

The information in this section and the procedures in this guide apply whether you are moving to a later release than what you are currently running or to an earlier release. However, we do not recommend downgrading because some configuration data might be lost.



NOTE: You can upgrade some security devices locally or remotely, but we recommend that you perform the upgrade of a device at the device location.

You can use any of the following methods to upgrade a security device:

- WebUI
- CLI
- Boot loader

To use the WebUI, you must have the following access:

- Root privilege to the security device
- Network access to the device from a computer that has a browser
- New ScreenOS firmware (downloaded from the Juniper Networks website and saved locally)

NOTE: After upgrading to ScreenOS 6.3.0 from a previous release of ScreenOS, you might need to either clear the cookies in your Web browser or press the default Help Link Path button in the WebUI, located in Configuration > Admin > Management. Because of cookies set when managing a device, you might receive the prior version of the Help files when selecting WebUI online Help if you do not clear the cookies in your browser.

To use the CLI, you must have the following access:

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- Root or read-write privileges to the security device
- Console connection or Telnet access to the device from a computer
- TFTP server installed locally and to which the device has access
- New ScreenOS firmware (downloaded from the Juniper Networks website and saved to a local TFTP server directory)

To upgrade through the boot loader, you must have the following access:

- Root or read-write privileges to the security device
- TFTP server installed locally that has an IP address in the same subnet as the device (255.255.255.0)
- Ethernet connection from a computer to the device (to transfer data from a local TFTP server)
- Console connection from the computer to the device (to manage the device)
- New ScreenOS firmware saved to a local TFTP server directory

Figure 2 on page 12 illustrates the three different ways by which you can upgrade a security device.



Figure 2: ScreenOS Upgrade Methods

To upgrade a security device, see the step-by-step procedures in "Upgrading to the New Firmware" on page 19 or "Upgrading Security Devices in an NSRP Configuration" on page 23.

Upgrading Boot Loaders

Some security devices require that you upgrade the boot loader before or during the firmware upgrade. Depending on the device, you upgrade boot loaders (if needed) in one of two ways.

- Method 1—First upgrading the boot loader, and then upgrading the firmware
- Method 2—Upgrading the boot loader and, after rebooting, using the boot loader to upgrade the firmware

You can view the boot-loader version for ISG and NetScreen 5000 line security devices by entering the **get envar** command. For SSG devices, reboot the device by using the console connection, and then check the boot messages.



NOTE: You cannot upgrade boot loaders remotely. A console connection and TFTP server are required to upgrade the boot loader.

Method 1

The security devices for which you upgrade the boot loader and then upgrade the firmware are categorized as *high end* and *low end*.

High-End Security Devices

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The high-end security devices are as follows:

■ ISG 1000, ISG 2000, NetScreen-5200, NetScreen-5400

NOTE: For these devices, boot loader upgrade is only needed if there is a failure during the upgrade.

The sample procedure shows the boot loader upgrade steps for an ISG 2000.

To upgrade the boot loader for an ISG 2000 device to v1.1.6:

- 1. Download the boot loader from the Juniper Networks support site.
 - a. Navigate your browser to http://www.juniper.net/customers/support/. The Support page appears.
 - b. Locate the Download Software section, and click **ScreenOS**. Enter your user ID and password in the login page that appears, and then click the LOGIN button. The ScreenOS page appears.
 - c. In the table of software download versions, locate ISG-2000 and click version 6.3.
 - d. In the Software tab (under Package), click ISG-2000_Boot_loader.
- 2. Save and extract the boot loader zip file and put it in the root directory of your TFTP server.
- 3. Start the TFTP server, if necessary.
- 4. Make an Ethernet connection from the security device hosting the TFTP server to the MGT port on the ISG 2000 and a serial connection from your workstation to the console port on the ISG 2000.
- 5. Restart the ISG 2000 by entering the **reset** command. When prompted to confirm the command, press **y**. The following system output appears:

Juniper Networks ISG Series BootROM V1.0.0 (Checksum: 8796E2F3) Copyright (c) 1997-2005 Juniper Networks, Inc. Total physical memory: 2048MB Test - Pass Initialization...... Done

- 6. Press the **X** and **A** keys sequentially to update the boot loader.
- 7. Enter the filename for the boot loader software you want to load (for example, enter **load200v116.d.S**), the IP address of the ISG 2000, and the IP address of your TFTP server. The following system output appears:

Serial Number [0079082004000043]: READ ONLY BOM Version [E01]: READ ONLY Self MAC Address [0010-db7a-bd80]: READ ONLY OS Loader File Name [eng/n2000idp-PEK0z0gi]: load2000v116.d.S Self IP Address [10.155.102.103]: TFTP IP Address [10.155.127.253]:

8. Press Enter to load the file. The following system output appears:

```
Save loader config (112 bytes)... Done
Loading file "load2000v116.d.S"...
rtatatatatata...
Loaded successfully! (size = 383,222 bytes)
Ignore image authentication!
Program OS Loader to on-board flash memory...
+++++++++++++++++++++++Done!
Start loading...
Done.
```

You have completed the upgrade of the boot loader and can now proceed to "Downloading New Firmware" on page 18.

Low-End Security Devices

The low-end security devices are as follows:

■ SSG 320M, SSG 350M, SSG 520, SSG 520M, SSG 550, SSG 550M



CAUTION: For these devices, we strongly recommend that you upgrade the boot loader to the latest version.

The sample procedure shows the boot loader upgrade steps for an SSG 500 device.

To upgrade the boot loader for an SSG 500 device to v1.0.5:

- 1. Download the boot loader from the Juniper Networks support site.
 - a. Navigate your browser to http://www.juniper.net/customers/support/. The Support page appears.
 - b. Locate the Download Software section, and click **ScreenOS**. Enter your user ID and password in the login page that appears, and then click the LOGIN button. The ScreenOS page appears.
 - c. In the table of software download versions, locate SSG-500 and click **version 6.3**.
 - d. In the Software tab (under Package), click SSG-500_Boot_loader.
- 2. Save and extract the boot loader zip file and put it in the root directory of your TFTP server.
- 3. Start the TFTP server, if necessary.

- 4. Make an Ethernet connection from the security device hosting the TFTP server to the MGT port on the SSG 500 and a serial connection from your workstation to the console port on the SSG 500.
- 5. Restart the SSG 500 by entering the **reset** command. When prompted to confirm the command, press **y**. The following system output appears:

Juniper Networks SSG500 BootROM V1.0.2 (Checksum: 8796E2F3) Copyright (c) 1997-2005 Juniper Networks, Inc. Total physical memory: 512MB Test - Pass Initialization...... Done

- 6. Press the **X** and **A** keys sequentially to update the boot loader.
- Enter the filename for the boot loader software you want to load (for example, enter loadssg500v105), the IP address of the SSG 500, and the IP address of your TFTP server. The following system output appears:

File Name [boot2.1.0.2]: loadssg500v105 Self IP Address [10.150.65.152]: TFTP IP Address [10.150.65.151]:

8. Press Enter to load the file. The following system output appears:

```
Save loader config (112 bytes)... Done
Loading file "loadssg500v105"...
/
Loaded successfully! (size = 125,512 bytes)
Ignore image authentication!
...
Done.
```

You have completed the upgrade of the boot loader and can now proceed to "Downloading New Firmware" on page 18.

Method 2

The security devices for which you upgrade the boot loader and, after rebooting, use the boot loader to upgrade the firmware are as follows:

■ SSG 5, SSG 20, SSG 140

NOTE: For these devices, boot loader upgrade is only needed if there is a failure during the upgrade.

The sample procedure shows the boot loader upgrade steps for an SSG 140 device.

To upgrade the boot loader for an SSG 140 device to v3.2.4:

1. Download the boot loader from the Juniper Networks support site.

- Navigate your browser to http://www.juniper.net/customers/support/. The Support page appears.
- b. Locate the Download Software section, and click **ScreenOS**. Enter your user ID and password in the login page that appears, and then click the LOGIN button. The ScreenOS page appears.
- c. In the table of software download versions, locate SSG-140 and click **version 6.3**.
- d. In the Software tab (under Package), click SSG-140_6.3.0r1_Upgrade.
- 2. Save and extract the upgrade zip file and put it in the root directory of your TFTP server.
- 3. Start the TFTP server, if necessary.
- 4. Make an Ethernet connection from the security device hosting the TFTP server to the MGT port on the SSG 140 and a serial connection from your workstation to the console port on the SSG 140.
- 5. Restart the SSG 140 by entering the **reset** command. When prompted to confirm the command, press **y**. The following system output appears:

Juniper Networks SSG-140 Boot Loader Version 3.2.3 (Checksum: ECD688CB) Copyright (c) 1997-2006 Juniper Networks, Inc. Total physical memory: 512MB Test - Pass Initialization - Done

Hit any key to run loader Hit any key to run loader

6. At this point, press any key to run the loader. The following system output appears:

Serial Number [0185012007000097]: READ ONLY HW Version Number [1010]: READ ONLY Self MAC Address [0017-cb49-4d00]: READ ONLY Boot File Name [release/firmware/6.3/ssg140]: ->: release/firmware/6.3/loadssg140v324.d Self IP Address [10.150.35.229]: TFTP IP Address [10.150.39.252]:

7. Press Enter to load the file. The following system output appears:

Save loader config (56 bytes)... Done The configured TFTP server is connected to port 0

Loading file "release/firmware/6.3/loadssg140v324.d"... r Receiving data block ... #448

Loaded Successfully! (size = 232,502 bytes)

Ignore image authentication

Save to on-board flash disk? (y/[n]/m)

8. At this point, when prompted to save to on-board flash disk, press **n**. (Because the boot loader upgrade is a one-time operation, you do not need to save it to on-board flash.) The following system output appears:

Run downloaded system image? ([y]/n)

9. At this point, when prompted to run the downloaded device image, press **y**. The following system output appears:

Start Ioa	
one.	
****	****
*	* * * * * * * * * * * * * * * * * * *
*	
*	(c)1997-2006 Juniper Networks, Inc.
*	All Rights Reserved *
*	*
*	*
*	SSG140 Boot Loader Version: 3.2.x *
*	Compile Date: Dec 5 2007; Time: 13:45:25 *
*	*
*	!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!!
*	! *
*	! Please don't power off during update. ! *
*	! Otherwise, the system can not boot again. ! *
*	! ! *
*	*
*	
*	DON TTOWER OF DORING BOOT ECADER OF DATE
*	DON'TTOWER OF DORING BOOT EOADER OF DATE
*	*** DON'T POWER OFF DURING BOOT LOADER UPDATE *** *

heck o	n-board Boot Loader Update needed!

Are you sure you want to update Boot Loader? (y/n)

10. At this point, when prompted to answer whether you want to update the boot loader, press **y**. The following system output appears:

Update Boot Loader..... Done Verify Boot Loader... Done

Boot Loader has been updated successfully!

You have completed the upgrade of the boot loader. The system will reboot and you can now proceed to "Downloading New Firmware" on page 18.

Downloading New Firmware

You can obtain the ScreenOS firmware from the Juniper Networks website. To access firmware downloads, you must be a registered customer with an active user ID and password. If you have not yet registered your Juniper Networks product, you must do so at the Juniper Networks website before proceeding.



NOTE: Before you begin a device upgrade, you must have the most recent ScreenOS firmware. Check Table 1 on page 9 to make sure you have the required intermediate software, if any.

To get the latest ScreenOS firmware:

- 1. Navigate your browser to http://www.juniper.net/customers/support/. The Support page appears.
 - a. Locate the Download Software section, and click ScreenOS. Enter your user ID and password in the login page that appears, and then click the LOGIN button. The ScreenOS page appears.
 - b. In the table of software download versions, locate the device for which you want to download software and click the version you want.
 - c. In the Software tab (under Package), click the upgrade link. For some devices, you need to click the management module link before you can access the Software tab.
- 2. Click **Save**, then navigate to the location where you want to save the firmware zip file.



You must save the firmware onto the computer from which you want to perform the upgrade.

If you want to upgrade the device using the WebUI, save the firmware anywhere on the computer.

If you want to upgrade the device using the CLI, save the firmware in the root TFTP server directory on the computer. If you do not have a TFTP server installed on your computer, then you can download one from the Internet. If no TFTP server is available, then you must use the WebUI to load the new firmware onto the device.

Upgrading to the New Firmware

This section provides instructions for upgrading firmware on the security device using the WebUI, the CLI, and the boot loader. This section also describes how to save multiple firmware images with the boot loader.



CAUTION: Before upgrading a device, save the existing configuration file to avoid losing any data.

Check Table 1 on page 9 to determine whether you need to install intermediate firmware or a boot-loader upgrade before installing ScreenOS 6.3.0. Use either the WebUI or CLI procedure to first install intermediate firmware (if required), and then install ScreenOS 6.3.0 firmware.

Upgrading Using the WebUI

This section describes how to upgrade the firmware on the security device using the WebUI. Instructions include upgrading to an intermediate version of firmware, if required, and then upgrading to ScreenOS 6.3.0.

To upgrade firmware using the WebUI:

- 1. Log into the security device by opening a browser.
 - a. Enter the management IP address in the Address field.
 - b. Log in as the root admin or an admin with read-write privileges.
- 2. Save the existing configuration.
 - a. Go to **Configuration > Update > Config File**, and then click **Save to File**. The File Download dialog box appears.
 - b. Click Save.
 - c. Navigate to the location where you want to save the configuration file (cfg.txt), and then click **Save**.
- 3. Upgrade to intermediate firmware, if required.

See Table 1 on page 9 to determine if intermediate firmware is required. If intermediate firmware is required, perform the following steps. Otherwise, proceed to Step 4.

- a. Go to **Configuration > Update > ScreenOS/Keys**, and then select **Firmware Update**.
- b. Click **Browse** to navigate to the location of the intermediate firmware. For example, if you upgrade a NetScreen 5000 line device running ScreenOS 5.4.0r1, you must upgrade to ScreenOS 5.4.0r8 or later and then continue this procedure.
- c. Click Apply.

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NOTE: This process takes some time. Do not click **Cancel** or the upgrade will fail. If you do click **Cancel** and the upgrade fails, power off the security device, then power it on again. Restart the upgrade procedure beginning with Step 3.

d. Click **OK** to continue.

The security device restarts automatically. The upgrade is complete when the device displays the login page in the browser.

- e. Log into the device. You can verify the version of the security device ScreenOS firmware in the Device Information section of the WebUI page.
- 4. Upgrade to the new ScreenOS firmware.
 - a. Go to **Configuration > Update > ScreenOS/Keys**, and then select **Firmware Update**.
 - b. Click **Browse** to navigate to the location of the new ScreenOS firmware, or enter the path to its location in the Load File field.
 - c. Click Apply.

A message box appears with information about the upgrade time.

d. Click OK to continue.

The device restarts automatically. The upgrade is complete when the device displays the login page in the browser.

- e. Log into the device. You can verify the version of the security device ScreenOS firmware in the Device Information section of the WebUI page.
- 5. If necessary, upload the configuration file that you saved in Step 4.
 - a. Go to **Configuration > Update > Config File**.
 - b. Select Merge to Current Configuration.
 - c. Enter the path and name of the configuration file, or click **Browse** to navigate to the file location.
 - d. Click Apply.

Upgrading Using the CLI

This section describes how to upgrade the firmware on the security device using the CLI. Instructions include upgrading to an intermediate version of the firmware, if required, and upgrading to ScreenOS 6.3.0.

To upgrade firmware using the CLI:

- 1. Make sure you have the new ScreenOS firmware (or the intermediate firmware, if required) in the TFTP root directory. For information about obtaining the new firmware, see "Downloading New Firmware" on page 18.
- 2. Run the TFTP server on your computer by double-clicking the TFTP server application. You can minimize this window, but it must be active in the background.
- 3. Log into the security device using an application such as Telnet or SSH (or HyperTerminal if connected directly through the console port). Log in as the root admin or an admin with read-write privileges.
- 4. Save the existing configuration by running the following command:

save config to { flash | slot1 | tftp }...

5. Enter the following command on the device and specify the filename of the firmware (if you are installing intermediate firmware, specify the filename of the intermediate firmware):

save soft from tftp ip_addr screenos_filename to flash

where *ip_addr* is the IP address of your computer, and *screenos_filename* is the filename of the ScreenOS 6.3.0 firmware.

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NOTE: If this upgrade requires intermediate firmware and you have not already upgraded to that firmware, enter the intermediate firmware filename when entering this command.

- 6. Reset the device when the upgrade is complete. Run the **reset** command and enter **y** at the prompt to reset the device.
- 7. Wait a few minutes, and then log into the security device again.
- 8. Use the **get system** command to verify the version of the security device ScreenOS firmware.

If you upgraded to intermediate firmware, repeat Steps 5 through 8 to install the ScreenOS 6.3.0 firmware.

9. If necessary, upload the configuration file that you saved in Step 4 by executing the following command:

save config from tftp to { flash | slot1 | tftp }...

Upgrading Using the Boot Loader

The boot loader brings up the hardware system, performs basic and sometimes critical hardware configurations, and loads system software used to run a security device.

To upgrade firmware using the boot loader:

1. Connect your computer to the security device.

- a. Using a serial cable, connect the serial port on your computer to the console port on the device (refer to your hardware manual for console settings). This connection, in combination with a terminal application, enables you to manage the device.
- b. Using an Ethernet cable, connect the network port on your computer to port 1 or to the management port on the device. This connection enables the transfer of data among the computer, the TFTP server, and the device.
- 2. Make sure you have the new ScreenOS firmware stored in the TFTP server directory on your computer. For information about obtaining the new firmware, see "Downloading New Firmware" on page 18.
- 3. Run the TFTP server on your computer by double-clicking the TFTP server application. You can minimize this window, but it must be active in the background.
- 4. Log into the device using a terminal emulator such as HyperTerminal. Log in as the root admin or an admin with read-write privileges.
- 5. Restart the device.
- 6. Press any key on your computer when you see "Hit any key to run loader" or "Hit any key to load new firmware" on the console display. This interrupts the startup process.

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NOTE: If you do not interrupt the device in time, it loads the firmware saved in flash memory.

7. Enter the filename of the ScreenOS firmware that you want to load at the Boot File Name prompt.



NOTE: If Table 1 on page 9 lists an intermediate firmware requirement, enter that filename at this step.

If you enter **slot1**: before the specified filename, then the loader reads the specified file from the external compact flash or memory card. If you do not enter **slot1**: before the filename, then the file is downloaded from the TFTP server. If the security device does not support a compact flash card, then an error message is displayed and the console prompts you to reenter the filename.

- 8. Enter an IP address that is on the same subnet as the TFTP server at the Self IP Address prompt.
- 9. At the TFTP IP Address prompt, enter the IP address of the TFTP server.

NOTE: The self IP address and TFTP IP address must be in the same subnet; otherwise, the TFTP loader rejects the self IP address and then prompts you to reenter it.

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An indication that the firmware is loading successfully is the display of a series of "rtatatatatatata..." running on the terminal-emulator screen and a series of symbols running on the TFTP server window. When the firmware installation is complete, a message informs you that the installation was successful. Repeat these steps if your first firmware upgrade was to an intermediate version.

Upgrading Security Devices in an NSRP Configuration

For security devices in a NetScreen Redundancy Protocol (NSRP) configuration, you must upgrade each device individually. There are two different NSRP configurations: NSRP active/passive and NSRP active/active.

The upgrade paths shown in Table 2 on page 23 are valid for each of these NSRP configurations, but for no-downtime upgrades, you must follow the information shown in Table 2 on page 23. For example, if you are running ScreenOS 5.0.0 on an ISG 2000 device, you need to upgrade both units of the cluster to ScreenOS 5.4.0r10, 6.0.0r7, 6.1.0r3 or 6.2.0r2 before upgrading to ScreenOS 6.3.0. Table 2 on page 23 also lists boot-loader upgrade recommendations for the ScreenOS platforms.

Platform	Intermediate Firmware	Upgrade Recommendation (Boot-Loader Filename)
ISG 1000 Series	5.4.0r10, 6.0.0r7, 6.1.0r3, 6.2.0r2	Load1000v102
ISG 2000 Series	5.4.0r10, 6.0.0r7, 6.1.0r3, 6.2.0r2	Load2000v116
NetScreen 5000 line using 5000-M2	5.4.0r11, 6.0.0r7, 6.1.0r3, 6.2.0r2	Load5000v103
NetScreen 5000 line using 5000-M3	6.1.0r3, 6.2.0r2	Load5000v103
SSG 5	5.4.0r10, 6.0.0r7, 6.1.0r3, 6.2.0r2	Loadssg5ssg20v132
SSG 20	5.4.0r10, 6.0.0r7, 6.1.0r3, 6.2.0r2	Loadssg5ssg20v132
SSG 140	5.4.0r11, 6.0.0r7, 6.1.0r3, 6.2.0r2	Loadssg140v324
SSG 300M Series	6.0.0r7, 6.1.0r3, 6.2.0r2	Loadssg300v306
SSG 500/500M Series	6.0.0r7, 6.1.0r3, 6.2.0r2	Loadssg500v105

Table 2: Upgrade Paths to ScreenOS 6.3.0 for No-Downtime NSRP Upgrades

The following sections describe the procedures for each of these NSRP configurations. The procedures apply only to firmware upgrades and are based on the assumption that the security devices are identical and that there are no hardware changes. If there are any hardware changes, consult the corresponding hardware guide for each platform.



CAUTION: Before upgrading a security device, save the existing configuration file to avoid losing any data.

Upgrading Security Devices in an NSRP Active/Passive Configuration

This section describes the steps for upgrading—with no downtime—a basic NSRP active/passive configuration, where security device A is the primary device and security device B is the backup device. Such an upgrade is supported for identical devices only and for the platforms listed in Table 2 on page 23. These steps are also valid when you follow the information shown in Table 1 on page 9, but a no-downtime upgrade is not guaranteed.

Before you begin, read "Requirements for Upgrading Security Device Firmware" on page 10. Also make sure you download the ScreenOS firmware to which you are upgrading each security device.



WARNING: Do not power off your device while it is upgrading to new firmware. Doing so could permanently damage the device.

To upgrade two devices in an NSRP active/passive configuration (some steps require CLI use):

1. Upgrade security device B to ScreenOS 6.3.0.

From the WebUI

- a. Make sure you have the new ScreenOS firmware (and the intermediate firmware, if required). For information about obtaining the firmware, see "Downloading New Firmware" on page 18.
- b. Log into security device B by opening a browser and entering the management IP address in the Address field. Log in as the root admin or an admin with read-write privileges.
- c. Save the existing configuration:
 - 1. Go to **Configuration > Update > Config File**, and then click **Save to File**.
 - 2. Click **Save** in the File Download dialog box.
 - 3. Navigate to the location where you want to save the configuration file (cfg.txt), and then click **Save**.
- d. Click **Configuration > Update > ScreenOS/Keys**, and then select **Firmware Update**.
- e. Click **Browse** to navigate to the location of the ScreenOS 6.3.0 firmware, or enter the path to its location in the Load File box.
- f. Click Apply.

A message box appears with information about the upgrade time.

g. Click **OK** to continue.

The device restarts automatically. The upgrade is complete when the device displays the login page in the browser.

h. Verify the version of the ScreenOS firmware by logging into the device and locating the Device Information section of the WebUI page.

From the CLI

- a. Make sure you have the ScreenOS 6.3.0 firmware (and the intermediate firmware, if required). For information about obtaining the firmware, see "Downloading New Firmware" on page 18.
- b. Log into security device B using an application such as Telnet or SSH (or HyperTerminal if directly connected through the console port). Log in as the root admin or an admin with read-write privileges.
- c. Save the existing configuration by running the following command:

save config to { flash | slot1 | tftp }...

- d. Run the TFTP server on your computer by double-clicking the TFTP server application.
- e. Enter the following command on the device:

save soft from tftp ip_addr screenos_filename to flash

where *ip_addr* is the IP address of your computer, and *screenos_filename* is the filename of the ScreenOS 6.3.0 firmware.

- f. Enter the **reset** command when the upgrade is complete, and then enter **y** at the prompt to reset the device.
- g. Wait a few minutes, and then log into the device.
- h. Enter the **get system** command to verify the version of the security device ScreenOS firmware.
- 2. Manually fail over the primary device to the backup device (CLI only).

From the CLI

- a. Log into the primary device (security device A).
- b. Issue one of the following CLI commands. The command that you need to run depends on whether or not the **preempt** option is enabled on the primary device.
 - If the **preempt** option is enabled:

exec nsrp vsd-group 0 mode ineligible

If the preempt option is not enabled:

exec nsrp vsd-group 0 mode backup

Either command forces the primary device to step down and the backup device to immediately assume the primary device role.

3. Upgrade the primary device (device A) to ScreenOS 6.3.0.

From the WebUI

- a. Make sure you have the ScreenOS 6.3.0 firmware. For information about obtaining the firmware, see "Downloading New Firmware" on page 18.
- b. Log into security device A.
- c. Save the existing configuration:
 - 1. Go to **Configuration > Update > Config File**, and then click **Save to File**.
 - 2. Click **Save** in the File Download dialog box.
 - 3. Navigate to the location where you want to save the configuration file (cfg.txt), and then click **Save**.
- d. Click **Configuration > Update > ScreenOS/Keys**, and then select **Firmware Update**.
- e. Click **Browse** to navigate to the location of the ScreenOS 6.3.0 firmware, or enter the path to its location in the Load File box.
- f. Click Apply.

A message box appears with information about the upgrade time.

g. Click **OK** to continue.

The device restarts automatically. The upgrade is complete when the device displays the login page in the browser.

h. Verify the version of the ScreenOS firmware by logging into the device and locating the Device Information section of the WebUI page.

From the CLI

- a. Make sure you have the ScreenOS 6.3.0 firmware. For information about obtaining the firmware, see "Downloading New Firmware" on page 18.
- b. Log into security device A.
- c. Save the existing configuration by running the following command:

save config to { flash | slot1 | tftp }...

- d. Run the TFTP server on your computer by double-clicking the TFTP server application.
- e. Run the following command on the device:

save soft from tftp ip_addr screenos_filename to flash

where *ip_addr* is the IP address of your computer, and *screenos_filename* is the filename of the ScreenOS 6.3.0 firmware.

- f. Reset the device when the upgrade is complete. Run the **reset** command and enter **y** at the prompt to reset the device.
- g. Wait a few minutes, and then log into the device again. You can verify the security device ScreenOS firmware version by using the **get system** command.
- 4. Synchronize device A (CLI only).

From the CLI

After you complete the upgrade of security device A to ScreenOS 6.3.0, manually synchronize the two devices. On device A (backup), issue the **exec nsrp sync rto all from peer** command from the peer CLI to synchronize the RTOs from device B (primary device).

5. Manually fail over the primary device to the backup device (CLI only).

From the CLI

- a. Log into the primary device (security device B).
- b. If the **preempt** option is enabled on device A, no action is needed. If the **preempt** option is not enabled on device A, issue the following command:

exec nsrp vsd-group 0 mode backup

Either command forces the primary device to step down and the backup device to immediately assume the primary device role.

Upgrading Security Devices in an NSRP Active/Active Configuration

This section applies to upgrading—with no downtime—an NSRP configuration where you paired two security devices into two virtual security device (VSD) groups, with each physical device being the primary in one group and the backup in the other. Such an upgrade is supported for identical devices only and for the platforms listed in Table 2 on page 23. These steps are also valid when you follow the information shown in Table 1 on page 9, but a no-downtime upgrade is not guaranteed. To upgrade, you first have to fail over one of the devices so that only one physical device is the primary of both VSD groups. You then upgrade the backup device first and the primary device second.

The following illustrates a typical NSRP active/active configuration where device A is the primary device for VSD 0 and the backup for VSD 1, and device B is the primary device for VSD 1 and the backup for VSD 0.

Before you begin, see "Requirements for Upgrading Security Device Firmware" on page 10. Also make sure you download the ScreenOS 6.3.0 firmware (and intermediate firmware, if required).



WARNING: Do not power off your security device while it is upgrading to new firmware. Doing so could permanently damage the device.

To upgrade two devices in an NSRP active/active configuration (some steps require CLI use):

From the CLI

- 1. Manually fail over the primary device B in VSD group 1 to the backup device A in VSD group 1 (CLI only):
 - a. Log into security device B using an application such as Telnet or SSH (or HyperTerminal if directly connected through the console port). Log in as the root admin or an admin with read-write privileges.
 - b. Issue one of the following CLI commands. The command you need to run depends on whether or not the **preempt** option is enabled on the primary device.
 - If the preempt option is enabled:

exec nsrp vsd-group 1 mode ineligible

If the preempt option is not enabled:

exec nsrp vsd-group 1 mode backup

Either command forces device B to step down and device A to immediately assume the primary role of VSD 1. At this point, device A is the primary of both VSD 0 and 1 and device B is the backup for both VSD 0 and 1.

2. Upgrade device B to the ScreenOS 6.3.0 firmware.

From the WebUI

- a. Make sure you have the ScreenOS 6.3.0 firmware (and the intermediate firmware, if required). Check Table 1 on page 9 for details. For information about obtaining the firmware, see "Downloading New Firmware" on page 18.
- b. Log into security device B by opening a browser and entering the management IP address in the Address box. Log in as the root admin or an admin with read-write privileges.
- c. Save the existing configuration:
 - 1. Go to **Configuration > Update > Config File**, and then click **Save to File**.
 - 2. Click **Save** in the File Download dialog box.
 - 3. Navigate to the location where you want to save the configuration file (cfg.txt), and then click **Save**.
- d. Click **Configuration > Update > ScreenOS/Keys**, and then select **Firmware Update**.
- e. Click **Browse** to navigate to the location of the ScreenOS 6.3.0 firmware, or enter the path to its location in the Load File box.
- f. Click Apply.

A message box appears with information about the upgrade time.

g. Click **OK** to continue.

The device restarts automatically. The upgrade is complete when the device displays the login page in the browser.

h. Verify the version of the ScreenOS firmware by logging into the device and locating the Device Information section of the WebUI page.

From the CLI

- a. Make sure you have the ScreenOS 6.3.0 firmware. For information about obtaining the firmware, see "Downloading New Firmware" on page 18.
- b. Log into security device B.
- c. Save the existing configuration by running the following command:

save config to { flash | slot1 | tftp }...

- d. Run the TFTP server on your computer by double-clicking the TFTP server application.
- e. Enter the following command on the device:

save soft from tftp ip_addr screenos_filename to flash

where *ip_addr* is the IP address of your computer, and *screenos_filename* is the filename of the ScreenOS 6.3.0 firmware.

- f. Reset the device when the upgrade is complete. Run the **reset** command and enter **y** at the prompt to reset the device.
- g. Wait a few minutes, and then log into the device again. You can verify the device ScreenOS firmware version by using the **get system** command.
- 3. Manually fail over device A completely to device B (CLI only).

From the CLI

- a. Log into security device A.
- b. Fail over primary device A in VSD 0 to backup device B in VSD 0 by issuing one of the following CLI commands. The command you need to run depends on whether or not the **preempt** option is enabled on the primary device.
 - If the **preempt** option is enabled:

exec nsrp vsd-group 0 mode ineligible

If the preempt option is not enabled:

exec nsrp vsd-group 0 mode backup

c. If the **preempt** option is enabled on device A, no action is needed. If the **preempt** option is not enabled on device A, issue the following command:

exec nsrp vsd-group 1 mode backup

At this point, device B is the primary device for both VSD 0 and 1, and device A is backup for both VSD 0 and 1.

4. Upgrade device A to ScreenOS 6.3.0.

From the WebUI

- a. Make sure you have the ScreenOS 6.3.0 firmware (and the intermediate firmware, if required). Check Table 1 on page 9 for software details. For information about obtaining the firmware, see "Downloading New Firmware" on page 18.
- b. Log into security device A.
- c. Save the existing configuration:
 - 1. Go to **Configuration > Update > Config File**, and then click **Save to File**.
 - 2. Click **Save** in the File Download dialog box.
 - 3. Navigate to the location where you want to save the configuration file (cfg.txt), and then click **Save**.
- d. Click **Configuration > Update > ScreenOS/Keys**, and then select **Firmware Update**.
- e. Click **Browse** to navigate to the location of the ScreenOS 6.3.0 firmware, or enter the path to its location in the Load File box.
- f. Click Apply.

A message box appears with information about the upgrade time.

g. Click **OK** to continue.

The device restarts automatically. The upgrade is complete when the device displays the login page in the browser.

h. Verify the version of the ScreenOS firmware by logging into the device and locating the Device Information section of the WebUI page.

From the CLI

- a. Make sure you have the ScreenOS 6.3.0 firmware. For information about obtaining the firmware, see "Downloading New Firmware" on page 18.
- b. Log into security device A.
- c. Save the existing configuration by running the following command:

save config to { flash | slot1 | tftp }...

- d. Run the TFTP server on your computer by double-clicking the TFTP server application.
- e. Enter the following command on the security device:

save soft from tftp ip_addr screenos _filename to flash

where *ip_addr* is the IP address of your computer, and *screenos_filename* is the filename of the ScreenOS 6.3.0 firmware.

- f. Reset the device when the upgrade is complete. Run the **reset** command, and then enter **y** at the prompt to reset the device.
- g. Wait a few minutes, and then log into the security device again. You can verify the security device ScreenOS firmware version by using the **get system** command.
- 5. Synchronize device A (CLI only).

From the CLI

After you complete the upgrade of security device A to ScreenOS 6.3.0, manually synchronize the two devices. On device A, issue the **exec nsrp sync rto all** command from the peer to synchronize the RTOs from security device B.

6. Fail over device B in VSD 0 to device A in VSD 0 (CLI only).

As the final step, return the devices to an active/active configuration.

- a. Log into security device A.
 - If the preempt option is enabled on device A, no action is needed. If the preempt option is not enabled on device A, issue the following command:

exec nsrp vsd-group 1 mode backup

Now device A is the primary device for VSD 0 and the backup for VSD 1, and device B is the primary device for VSD 1 and the backup for VSD 0.

Upgrading Security Devices Operating in FIPS Mode

A security device operating in FIPS mode can be upgraded by following the instructions given in "Upgrading Using the CLI" on page 20 or "Upgrading Using the Boot Loader" on page 21, with one exception: FIPS mode prevents the configuration from being exported from the device.

Hot Patch Management

The ScreenOS hot patch management component runs on the security device and performs the following functions:

- Loads the hot patch file from TFTP to flash memory
- Removes the hot patch file from flash memory
- Maintains the patch finite state machine (FSM)

Loading the Hot Patch File to Flash Memory

The hot patch generator extracts the changes from the old and new ELF files and generates a hot patch file. Execute the following command to load the hot patch file to flash memory:

save patch from tftp IPv4/IPv6 address filename

The file name of patch file in flash memory is ns_patch.bin. This name cannot be changed. Before the patch manager loads the patch file into flash memory, it performs a sanity check on the file.

After loading, the hot patch file exists in flash memory and is ready for hot patch management.



NOTE: After executing this CLI, hot patch file is not in memory.

Removing Hot Patch File from Flash Memory

To remove the hot patch file from flash memory:

- 1. Ensure that the patch is in the INIT state.
- 2. Execute the following command:

delete file ns_patch.bin

Maintenance of the Hot Patch File

The ScreenOS hot patch finite state machine (FSM) manages the patch. The FSM comprises the following four states.

Table 3: FSM State Description

FSM State	Description
INIT	The default state when booting up. No hot patch file is loaded to memory.
	NOTE: In the INIT state, the hot patch file is not in flash memory; therefore, loading the hot patch file to flash memory does not affect the hot patch FSM.
INACT	The hot patch file is loaded to memory. However, the patch file is inactive. The hot patch FSM enters the INACT state after loading hot patch file from flash to memory.
АСТ	The hot patch file becomes active. However, the patch file will roll back to INACT automatically after reboot. In this state, the patch is under test run. Any reboot changes the state to INACT. Hence, after reboot, the patch does not take action again. By this mechanism, the new issues introduced by the hot patch are restored automatically.
CFM	The hot patch is confirmed with no errors. In the confirmed state, the patch is active and is not removed after the system is rebooted.

The following table shows the possible events and their corresponding actions.

Table 4: FSM Events and Actions

Event	State Transfer	Actions
INIT	Any \Rightarrow INIT	Initializes the patch FSM
Load	$INIT \Rightarrow INACT$	Loads ns_patch.bin from flash to memory (patch area)
Activate	$INACT \Rightarrow ACT$	Patches the code to the new version
Deactivate	$ACT \Rightarrow INACT$	Rolls back the code to the old version
	CFM ⇒INACT	
Confirm	$ACT \Rightarrow CFM$	None
Remove	Any \Rightarrow INIT	Removes the patch from memory

After the system boots up, the patch is in the INIT state. Hot patch management checks the patch management file in flash memory to load the patch state. Depending on the state, hot patch management triggers an FSM state transfer. The following table shows the patch management states and events.

State of Patch Management file	Events
INIT	Init
INACT	Load
АСТ	Load
CFM	Load, Activate, Confirm

Table 5: Patch Management State and Events

Hot Patch File Sanity Check

Because the hot patch is critical, the ScreenOS conducts a sanity check to test and confirm that the hot patch contains no errors and can safely be activated for the current image.

The sanity check is run twice:

- 1. When hot patch file is loaded from the TFTP server to flash memory, the system checks the patch file header to verify the platform, image version, file checksum, and so on.
- 2. When the hot patch file is loaded to memory, the system checks the function table to ensure that information for each function in memory matches the hot patch file.

These two sanity checks ensure that the patch is suitable for the current image.

Software Version Display

All the commands that display the software versions now also display the patch version. The patch version is displayed only when the patch is in ACT or CFM state. Such commands include the following:

- get system
- crash dump

Authenticating ScreenOS Images

ScreenOS Image Certification Keys are used to authenticate images provided by Juniper Networks. Authenticating these images enhance the security and integrity of the security device. An image authentication signature is incorporated in each ScreenOS image provided by Juniper Networks.

A ScreenOS image authentication certificate (imagekey.cer) is installed on the Juniper Networks security device. Whenever the device boots, a ScreenOS image from flash memory uses the authentication certificate to verify the ScreenOS signature embedded in the file.

For installing ScreenOS Image Authentication Certificate and Authenticating a ScreenOS Image, see http://kb.juniper.net/kb/documents/public/kbdocs/BK8729/image_key_readme.pdf.

The ScreenOS Image Certification Key is located at http://www.juniper.net/techpubs/hardware/netscreen-certifications.html.

Additional Information

Scan manager profiles and AV pattern updates are described in the next two sections.

Scan Manager Profile

The global **scan-mgr** command controls the embedded scan manager, which is the AV component that interacts with the scan engine. For example, the **set av scan-mgr** CLI command sets the global commands that control parameters, such as max-content-size, max-msgs, pattern-type, pattern-update, and queue-size. You can view global AV settings by using the **get av scan-mgr** command.

In ScreenOS 5.3.0 and later, some of the previous global settings are configured from within a profile context. For example, global commands such as **timeout** and **max-decompress-layer** are no longer global; they are now set within the profile for each protocol. Commands such as **max-content-size** and **max-msgs**, which configure the embedded scan manager, are global and are now set using the **set av scan-mgr** command.

When you upgrade to ScreenOS 5.3.0 or later, a scan manager profile named **scan-mgr** is automatically generated to migrate the global **scan-mgr** commands. The **scan-mgr** profile runs the following commands:

set ftp decompress-layer 2 set http decompress-layer 2 set imap decompress-layer 2 set pop3 decompress-layer 2 set smtp decompress-layer 2 set http skipmime enable set http skipmime mime-list ns-skip-mime-list

Table 6 on page 35 shows the updated commands in ScreenOS 6.3.0. Updated commands are now entered from within a policy context.

Table 6: Command Updates

Commands Previous to ScreenOS 5.3.0	Commands for ScreenOS 5.3.0 and Later Within a Profile Context
set av http skipmime	set av profile scan-mgr set http skipmime mime-list ns-skip-mime-list set http skipmime enable exit

Table 6: Command Updates (continued)

Commands Previous to ScreenOS 5.3.0	Commands for ScreenOS 5.3.0 and Later Within a Profile Context
unset av http skipmime	set av profile scan-mgr unset http skipmime enable exit
set av scan-mgr content { FTP HTTP IMAP POP3 SMTP } [timeout <i>number</i>] }	set av profile scan-mgr set { FTP HTTP IMAP POP3 SMTP { enable timeout <i>number</i> } } exit
unset av scan-mgr content { FTP HTTP IMAP POP3 SMTP }	set av profile scan-mgr unset { FTP HTTP IMAP POP3 SMTP } enable exit

AV Pattern Update URL

The locations for AV pattern updates can be found at:

http://update.juniper-updates.net/AV/SSG5_SSG20/ http://update.juniper-updates.net/AV/SSG100/ http://update.juniper-updates.net/AV/SSG500/ http://update.juniper-updates.net/AV/SSG300/

If you have upgraded your ScreenOS release, you might want to check that the pattern update URL has been modified by using the **get av scan-mgr** command. For example:

ssg5-serial-> get av scan-mgr <AV scan engine info> AV Key Expire Date: 11/21/2009 00:00:00 Update Server: http://update.juniper-updates.net/AV/SSG5_SSG20/ interval: 10 minutes auto update status: next update in 10 minutes last result: download list file failed pattern update proxy status: OFF AV signature version: 12/25/2007 09:33 GMT, virus records: 149961 Scan Engine Info: last action result: No error(0x0000000), memory left 55084kB Scan engine default file extension list: 386;ACE;ARJ;ASP;BAT;BIN;BZ2;CAB;CHM;CLA;CMD;COM;CPL;DLL;DOC;DOT;DPL;DRV; DWG;ELF;EMF;EML;EXE;FON;FPM;GEA;GZ;HA;HLP;HTA;HTM;HTML;HTT;HXS;ICE;INI; ITSF; JAR; JPEG; JPG; JS; JSE; LHA; LNK; LZH; MBX; MD?; MIME; MSG; MSI; MSO; NWS; OCX; OTM;OV?;PDF;PHP;PHT;PIF;PK;PL;PLG;PP?;PRG;PRJ;RAR;REG;RTF;SCR;SH;SHS;SWF; SYS;TAR;TGZ;THE;TSP;VBE;VBS;VXD;WSF;WSH;XL?;XML;ZIP; pattern type: standard max content size: 10000(k) (drop if exceeds) max-msgs: 256 (drop if exceeds) decompress layer: (drop if exceeds) password file: (pass if occurs) corrupt file: (pass if occurs) out of resource: (drop if occurs) scan engine is not ready: (drop if occurs) timeout: (drop if occurs)